First meeting of the subcommittee *ad interim* of UNAIDS Programme Coordinating Board

Geneva, Switzerland

25 February 2009

Annexes of the report of the first meeting of the PCB subcommittee
SUBCOMMITTEE AD INTERIM OF THE PROGRAMME COORDINATING BOARD

First meeting
Date: 25 February 2009
Venue: WHO Salle B (main building, third floor), Geneva, Switzerland
Time of meeting: 09h00 - 12h30 and 14h00 - 17h30

Agenda

1. Opening of the meeting and adoption of the agenda
   The Chair will open the meeting and propose the adoption of the agenda.

2. Mandate of the subcommittee ad interim for the preparation of the 2010-2011 Unified Budget and Workplan
   Helen Frary from UNAIDS Secretariat will introduce the mandate of the subcommittee as adopted by the PCB and the subcommittee will be asked to take note of it.

3. Overall context, approach and principles of the 2010-2011 Unified Budget and Workplan
   Joel Rehnstrom from UNAIDS Secretariat will present an overview of the overall context, approach and principles that guide the development of the 2010-2011 UBW and the subcommittee will be asked to reflect on and take note of these.
   Document: UNAIDS/PCB/SC(01)/01.1

4. Structure of the 2010-2011 UBW: principal outcomes and key outputs
   Andrew Ball from WHO will present the structure of the 2010-2011 UBW as well as the proposed principal outcomes and key outputs and the subcommittee will be asked to review and provide comments on these.

(Lunch: 12.30-14.00)
5. **Progress in the development of a performance monitoring framework**

Joel Rehnstrom from UNAIDS Secretariat will present an overview of progress in the development of a performance monitoring framework for the 2010-2011 UBW and the subcommittee will be asked to review progress to date.

*Document:* Handout to be provided at the meeting.

6. **Process for finalizing the 2010-2011 UBW**

Joel Rehnstrom from UNAIDS Secretariat will present an overview of the process for finalizing the 2010-2011 UBW and the subcommittee will be asked to take note of the next steps in the process.


7. **Agenda and date of next meeting and any other business**

A second meeting of the subcommittee is proposed to take place in mid April in Geneva. The meeting is expected to include a review of the draft final 2010-2011 UBW including the performance monitoring framework. The Chair will ask subcommittee members to agree on a date of the meeting.
Mandate of the subcommittee *ad interim* for the preparation of the 2010-2011 Unified Budget and Workplan (UBW)
*(as adopted at the 23rd meeting of the Programme Coordinating Board, December 2008)*

The UNAIDS Programme Coordinating Board,

5.9 *Agrees* to the establishment of a subcommittee *ad interim* of the Programme Coordinating Board for the preparation of the 2010-2011 Unified Budget and Workplan with the mandate to review in a general manner and make recommendations to the 24th Programme Coordinating Board meeting on:

a. the overall priorities, scope and structure of the UNAIDS Budget and Workplan;
b. the expected results and broad activities of the Unified Budget and Workplan;
c. the performance monitoring framework, indicators, targets and financial implementation reports; and

d. follow-up on implementation of the previous decisions on the Unified Budget and Workplan;

5.10 *Agrees* that the subcommittee shall be comprised of a maximum of ten member states (two per geographical region), two NGOs, two Cosponsors and the UNAIDS Secretariat, and that the reports of the subcommittee meetings be posted on the UNAIDS website;

5.11 *Agrees* the process for establishment of the subcommittee as follows:

* By the deadline of 9 January 2009 the Chair of the Programme Coordinating Board to invite proposals for membership from each (Member State) region and constituency (NGOs and CCO); each group should present consensus nominees if possible;

* Each constituency will be requested to submit the exact number of nominations to fill the number of places on the subcommittee for that particular constituency;

* If the number of nominations exceeds or is less than the number of places on the subcommittee for a particular constituency, the Chair of the Programme Coordinating Board will contact all members of that constituency represented on the Board for further discussion and agreement;

* Once the subcommittee is established the PCB Bureau will communicate the names of the members to all Member States, PCB NGOs and Cosponsors; and

* The PCB Bureau shall propose, for agreement by the Programme Coordinating Board using the inter-sessional decision making process, a Chair from within the membership of the subcommittee;
Overall context, approach and principles of the 2010-2011 UBW

The Unified Budget and Workplan: context, principles and approach

Overall context

- Universal access to HIV prevention, treatment, care and support provides the overall goal for the 2010-2011 UBW
- The current UBW serves as a model and past achievements and lessons learned are considered in formulating the next UBW
- Changes in the structure of the UBW are limited in light of the ongoing second independent evaluation of UNAIDS
- A limited number of areas that the Cosponsors and the Secretariat focus on and take responsibility for are highlighted
- Lead agencies, allocation of resources, accountability and links between investments and results are spelled out in the UBW
Key principles

• The UBW is not a funding mechanism for national AIDS programmes and has therefore always been relatively small.

• The UBW provides funds activities at global, regional and country level to catalyze and leverage country level action against AIDS.

• The Cosponsors’ country-level resources remain formally outside the UBW as it would impossible to include these in the UBW.

• The next UBW is proposed to remain around the current level of US$469 million.

• A performance monitoring framework is developed to go along with the UBW with a particular focus on the measurement of achievements at country level.

Approach

• The UBW results framework consists of:
  (a) principal outcomes, which UNAIDS contribute to
  (b) key outputs, which UNAIDS is accountable for
  (c) broad activities which are required to achieve the results

• The budget will be presented showing resources allocated for:
  i. priority areas and activities to achieve universal access
  ii. the Secretariat, Cosponsor and Interagency activities
  iii. global, regional and country level activities
**Structure of the 2010-2011 UBW**

8 **Principal Outcomes**: defined as medium-term changes in the AIDS response to which UNAIDS contributes, which set common, high-level objectives.

34 **Key Outputs**: defined as immediate results of cumulative and collaborative efforts Cosponsors and Secretariat to provide goods and services.

**Some 100 Activities**: by individual Cosponsors, the Secretariat and interagency efforts.

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**What is an outcome and output?**

**Tiers of results aligned with OECD-DAC:**

- **Goal** – MDG 6, target 7
  
  Halt and reverse the spread of AIDS epidemic

- **Impact**
  
  Decrease in HIV prevalence, averted infections, longer survival on ART

- **Principal Outcome**
  
  Increased coverage of prevention, treatment, care or support programmes

- **Key Output**
  
  Number of countries supported to plan, implement and monitor programmes

- **Activity**
  
  Development of tools, provision of technical advice, advocacy, missions
### Budget components

<table>
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<tr>
<th>Budget component</th>
<th>Definition</th>
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| $469m                    | Raised by UNAIDS Secretariat  
Consists of all of the Core plus the Supplemental Secretariat, Interagency and Contingency  
Primary funding applied within UBW workplan  
Catalyzes Cosponsor supplemental, Global/Regional & Country level funding |
| $247m                    | Raised directly by Cosponsors from voluntary contributions.  
Applied within UBW workplan.                                                                                                                |
| $184m                    | Cosponsor allocation from their own regular budgets.  
Applied within UBW workplan                                                               |
| $$$$                     | Raised by Cosponsors from voluntary contributions and regular budget.  
Applied outside UBW workplan                                                               |
Structure of the 2010-2011 UBW: principal outcomes and key outputs

2010 – 2011
Unified Budget and Workplan
(UBW)

Principles for developing UBW

- UBW framework fully aligned with revised 5 Strategic Directions
- Direct relationship between BA, KO, PO and SD
- Consideration of:
  - changing epidemic dynamics, response and context
  - Decisions of PCB20 and PCB22
  - Focus on priorities as identified by HLM, new evidence and opportunities for impact
- Where appropriate retain POs and KOs from 2008-2009
- Flexibility in the allocation of # Broad Activities per agency
- Parity in level of Broad Activities
- Where appropriate move activities/budgets from Interagency Budget
Five Strategic Directions (SDs)

1. Guiding the global agenda, increasing involvement and monitoring global progress → Advocacy, resources, strategic information
2. Technical support and capacity building to ‘make the money work’ for Universal Access → Systems strengthening
3. Human rights, gender equality and reduced vulnerability of most-at-risk populations → Determinants and supportive environments
4. Re-emphasizing HIV prevention alongside treatment, care and support → Specific interventions and populations
5. Strengthening harmonization and alignment with national priorities → Coordination and harmonization
Principal Outcomes

- **SD1**
  - PO1a: Leadership and resource mobilization
  - PO1b: Strengthened evidence base and accountability.

- **SD2**
  - PO2: Human resources and systems capacity

- **SD3**
  - PO3: Human rights-based, gender, stigma and discrimination

- **SD4**
  - PO4a: HIV prevention, treatment, care and support
  - PO4b: Most at risk populations
  - PO4c: Women and girls, young people, children, populations affected by humanitarian crisis

- **SD5**
  - PO5: Coordination, alignment and harmonization

How KOs reflect POs?

- **UNAIDS 2010-2011 UBW**
  - PO1 (a,b) Advocacy, Resources & SI
  - PO2 Systems strengthening
  - PO3 Determinants & Supportive env.
  - PO4 (a, b, c) Interventions & Populations
  - PO5 Coordination
  - 7 Key Outputs
  - 7 Key Outputs
  - 4 Key Outputs
  - 13 Key Outputs
  - 3 Key Outputs
**PO 1: Advocacy, resources, strategic information**

**PO1a: Leadership, Resource Mobilization**
- KO1: Global agenda and HIV policies, standards and guidelines
- KO2: Political commitment and leadership (government, CS, private sector)
- KO3: Financial resources mobilization and leveraging
- KO4: Strengthened PLHIV, civil society and CBO capacity

**PO1b: Strengthened Evidence Base & Accountability**
- KO1: Global M&E approaches and systems
- KO2: HIV estimates, HIV resource needs and financial tracking
- KO3: Research and evaluation agendas

**PO2: Human resources and systems capacity**

- KO1: National AIDS authorities
- KO2: National AIDS Strategies and Action Plans
- KO3: National strategic information and accountability systems
- KO4: Community systems
- KO5: National human resources
- KO6: Socio-economic impact of AIDS
- KO7: National procurement and supply management systems
**PO3: Determinants and supportive environment**

KO1: Human rights-based, gender-responsive and equitable HIV policies and programmes
KO2: HIV-related stigma, discrimination & human rights (for MARPs)
KO3: Addressing legal and policy barriers
KO4: Gender-based inequality, violence, trafficking

**PO4a: HIV prevention, treatment, care & support**

KO1: Prevention of sexual and STIs
KO2: PMTCT
KO3: HIV prevention in health care & occupat. settings
KO4: HIV treatment and care
KO5: HIV testing and counseling
KO6: HIV/TB
**PO4b&c: Most-at-risk & vulnerable populations**

**PO4b: Most-at-risk populations**
- KO1: Scale up of services for MARPs
- KO2: Evidence-informed policies & practices for MARPs

**PO4c: Vulnerable populations**
- KO1: Children
- KO2: Young people
- KO3: Women and girls
- KO4: Humanitarian crises
- KO5: Workplace and mobile populations

**PO5: Coordination, alignment and harmonization**

- KO1: HIV responses in development plans
- KO2: Coordinated technical and financial support
- KO3: UN system support
Summary

5 Strategic Objectives
8 Principal Outcomes
34 Key Outputs

Broad Activities and Budgets to be decided
Division of Labour clarified with Lead and Implementing Agencies

Next steps:
- Global Coordinators Meeting
- CCO
- PCB
Progress in the development of a performance monitoring framework

The Unified Budget and Workplan: performance monitoring

Current performance monitoring efforts

1. Joint monitoring of progress against Principal Outcomes – UNGASS reports, UNAIDS country reports
2. Individual monitoring of achievement of Key Outputs – reports by Cosponsors and Secretariat
3. Reviews and in-depth assessments, case studies by the Cosponsors and Secretariat
### Linking outcomes and outputs with indicators

**Principal Outcome:**
Improved planning, financing, technical assistance and coordination for a sustainable multisectoral AIDS response.

**Outcome indicator:**
Number of countries with national AIDS strategies that are multisectoral, with clear strategic priorities with costed and budgeted action plans.

**Key Output:**
Increased, harmonized and aligned technical and financial support for implementation of national AIDS programmes.

**Examples of output indicators:**
- Number of technical support person-days provided
- Number of (GFATM and other) proposals developed with UNAIDS support funded

**Activities**
- **UNDP:** Technical and managerial support for implementation, coordination and monitoring of programmes financed by GFATM and other initiatives.
- **World Bank:** Improving donor coordination and harmonization in collaboration with the Global Fund, PEPFAR and others.
- **Secretariat:** Strengthening capacity of national AIDS responses; harmonization and alignment of donor funding with national priorities.
- **Interagency:** Technical support to national partners to overcome critical obstacles in scaling up comprehensive AIDS programmes (GIST,TSF).

### Examples of performance monitoring

**Principal outcome**
Countries able to implement and scale-up HIV prevention programmes

- 93% of countries have a national strategy for HIV prevention
- Condom use - 27% of women (aged 15-49) and 33% of men (aged 15-49)

**Key outputs by agency - UNFPA**

**Key outputs by agency - WHO**

**Key outputs by agency - UNESCO**
Developed capacity to design, implement and assess effective educational, communication and information strategies for HIV prevention

- 25 countries supported to develop IEC policies; 60 countries involved in EDUCAIDS
- 20 major capacity building workshops on IEC held in 24 countries
- Over 200 IEC training programmes for different sectors in 30 countries

**Case studies, evaluations, assessments**
Lessons learned

• Continued efforts needed to strengthen performance monitoring and accountability at all levels

• Performance monitoring efforts to focus on:
  ✓ continued selection of the most appropriate indicators
  ✓ harmonizing UBW measurements with those used by Cosponsors in their other programmes
  ✓ improving links between investments and results

Accountability

• Cosponsor and Secretariat accountability
  – annual narrative reports on progress against indicators
  – annual financial reports on the utilization of all sources of funds
  – individual evaluations, assessments and case studies

• Responsibility
  Cosponsors and the Secretariat use their own internal mechanisms for monitoring activities and expenditures
  Secretariat seeks, reviews, analyses and synthesizes reports

• Reporting to
  UNAIDS PCB, Cosponsor governing boards
Future performance monitoring efforts

- More systematic process and comprehensive assessment
- Improved scope and quality of performance information
- Continued emphasis on measuring progress at country level
- Better linkages with other monitoring efforts
## Process for finalizing the 2010-2011 UBW

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<th>Milestone</th>
<th>Timeline</th>
<th>Responsible parties</th>
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<tr>
<td>1. First meeting of the PCB Subcommittee ad interim for the 2010-2011 Unified Budget and Workplan</td>
<td>25 February 2009</td>
<td>PCB Subcommittee and Secretariat</td>
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<tr>
<td>2. Peer review of the 2010-2011 UBW Principal Outcomes, Key Outputs, Broad Activities and Budget allocations</td>
<td>2-4 March 2009</td>
<td>Global Coordinators Focal Points and Secretariat</td>
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<td>3. UBW 2008-2009 mid-term review conducted and considered as part of finalization of UBW 2010-2011</td>
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<td>4. Finalization of the 2010-2011 UBW Performance Monitoring Framework PO and KO indicators including the definition of their respective data sources, baselines and targets</td>
<td>≈15 March 2009</td>
<td>Cosponsor Evaluation Working Group</td>
</tr>
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<td>5. CCO review and endorsement of UBW 2010-2011 and the Performance Monitoring Framework</td>
<td>2-4 April 2009</td>
<td>Global Coordinators Focal Points and Secretariat</td>
</tr>
<tr>
<td>6. Second meeting of the PCB Subcommittee ad interim for the 2010-2011 Unified Budget and Workplan</td>
<td>16 April 2009</td>
<td>PCB Subcommittee and Secretariat</td>
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<tr>
<td>7. Finalization of UBW document including Performance Monitoring Framework, editing and translation</td>
<td>24 April 2009</td>
<td>Focal Points and Secretariat</td>
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<tr>
<td>8. PCB member states briefed on UBW 2010-2011, and the Performance Monitoring Framework</td>
<td>End April 2009</td>
<td>Global Coordinators Secretariat</td>
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<tr>
<td>9. PCB review and approval of UBW 2010-2011 including the Performance Monitoring Framework</td>
<td>22-24 June 2009</td>
<td>Global Coordinators Focal Points and Secretariat</td>
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